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Date: November 14, 2005

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Peter K. Trzyna (Reg. No. 32,601)

PATENT

Paper No.

File: Graff-P2-01

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

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Inventor	:	Richard A. Graff
Serial No.	:	10/015,258
Filed	:	December 11, 2001
For	:	BIDDER SYSTEM USING MULTIPLE COMPUTERS COMMUNICATING DATA TO CARRY OUT SELLING FIXED INCOME INSTRUMENTS
Group Art Unit	:	3786
Examiner	:	Rosen, Nicholas D.

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Honorable Commissioner of Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**TRANSMITTAL LETTER**

S I R :

For the above-identified patent application, please enter the following:

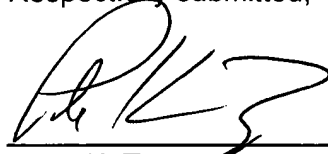
1. Information Disclosure Statement;
2. PTO Form 1449; and
3. Cited Art.

**APPLICANT CLAIMS SMALL ENTITY STATUS.** The Commissioner is hereby  
authorized to charge any fees associated with the above-identified patent application or credit any

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Respectfully submitted,

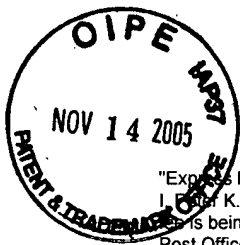
A handwritten signature in black ink, appearing to read 'Peter K. Trzyna', written over a horizontal line.

Peter K. Trzyna  
(Reg. No. 32,601)

Date: November 14, 2005

P.O. Box 7131  
Chicago, IL 60680-7131

(312) 240-0824



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Date: November 14, 2005

Signed: Peter K. Trzyna  
Peter K. Trzyna (Reg. No. 32,601)

PATENT

Paper No. 1

Our File No. Graff-P2-01

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

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P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

SIR:

This Information Disclosure Statement is being filed pursuant to the duty of disclosure, candor, and good faith embodied in 37 C.F.R. §§ 1.56 and 1.97 owed by the inventor, the inventor's assignee substantively involved in the application, and the patent attorney to the United States Patent and Trademark Office. In those cases from which the instant case claims priority, Applicant has previously submitted patents, publications, and/or other information of which the inventor is aware to help make this information of record. The Examiner is reminded to check those files for such materials.


It is respectfully requested that his Information Disclosure Statement be entered and the reference(s) listed on the attached PTO-1449 be considered by the Examiner and made of record. In accordance with 37 C.F.R. § 1.98(d), copies of the listed references are enclosed.

In accordance with 37 C.F.R. § 1.97(g), (h), this Information Disclosure Statement is not to be construed as representation that a search has been made, and is not to be construed to be an admission that the information disclosed is, or is considered to be, prior art with respect to the present application or material to patentability as defined in 37 C.F.R. § 1.56. This Information Disclosure Statement shall not be construed to mean that no other material information, as defined in 37 C.F.R. § 1.56, exists.

**APPLICANT CLAIMS SMALL ENTITY STATUS.** This Information Disclosure Statement is being filed after receipt of the first Office Action reflecting an examination on merits. Thus, in accordance with 37 C.F.R. § 1.97(c), a fee is due. Should any additional fees be deemed necessary, the Commissioner is authorized to charge any deficiency or to credit any over payment to Deposit Account No. 50-0235.

Respectfully submitted,

Date: November 14, 2005

  
Peter K. Trzyna  
(Reg. No. 32,601)

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Chicago, IL 60680-7131  
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Form PTO-1449 (modified)

Atty. Docket No. Graff-P2-01

Serial No.  
10/015,250

List of Patents and Publications for Applicant's

Applicant: Richard A. Graff

INFORMATION DISCLOSURE STATEMENT

Filing Date:

December 11, 2001

Group:

3786

(Use several sheets if necessary)

U.S. Patent Documents

See Page 1

Foreign Patent Documents

See Page 1

Other Art

See Page 1

## U.S. Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date if App.
	A1	US 2002/0138419	Sep. 26, 2002	Melone et al.	705	38	Mar. 22, 2002
	A2	US 2003/0229555	Dec. 11, 2003	Marlowe-Noren	705	38	Nov. 15, 2002
	A3						

## Foreign Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Country	Class	Sub Class	Translation Yes/No
	B1						
	B2						

## Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

Exam. Init.	Ref. Des.	Citation
	C1	Copies of Web Pages of Projector Center labeled as Projector Center, 2000-2002, projectorcenter.com, <a href="http://web.archive.org/web/20021026135235/http://www.projectorcenter.com/lease_end.asp">http://web.archive.org/web/20021026135235/http://www.projectorcenter.com/lease_end.asp</a> , printed 7/19/05

EXAMINER:

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INFORMATION DISCLOSURE STATEMENT — PTO-1449 (MODIFIED)

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